This article was downloaded by: On: *15 January 2011* Access details: *Access Details: Free Access* Publisher *Taylor & Francis* Informa Ltd Registered in England and Wales Registered Number: 1072954 Registered office: Mortimer House, 37-41 Mortimer Street, London W1T 3JH, UK



Chemistry and Ecology

Publication details, including instructions for authors and subscription information: http://www.informaworld.com/smpp/title~content=t713455114

Chemical synthesis of 6-pentyl-2*H*-pyran-2-one: a natural antifungal biosynthesized by *Trichoderma* spp.

Z. Andriamialisoa^a; M. Giraud^b; R. Labia^a; A. Valla^a

^a Chimie et Biologie des Substances Naturelles 6, rue de l'Université, Quimper, France; ^b MNHN, Concarneau, France

Online publication date: 12 May 2010

To cite this Article Andriamialisoa, Z., Giraud, M., Labia, R. and Valla, A.(2004) 'Chemical synthesis of 6-pentyl-2*H*-pyran-2-one: a natural antifungal biosynthesized by *Trichoderma* spp.', Chemistry and Ecology, 20: 1, 55 – 59 To link to this Article: DOI: 10.1080/02757540310001642670 URL: http://dx.doi.org/10.1080/02757540310001642670

PLEASE SCROLL DOWN FOR ARTICLE

Full terms and conditions of use: http://www.informaworld.com/terms-and-conditions-of-access.pdf

This article may be used for research, teaching and private study purposes. Any substantial or systematic reproduction, re-distribution, re-selling, loan or sub-licensing, systematic supply or distribution in any form to anyone is expressly forbidden.

The publisher does not give any warranty express or implied or make any representation that the contents will be complete or accurate or up to date. The accuracy of any instructions, formulae and drug doses should be independently verified with primary sources. The publisher shall not be liable for any loss, actions, claims, proceedings, demand or costs or damages whatsoever or howsoever caused arising directly or indirectly in connection with or arising out of the use of this material.



CHEMICAL SYNTHESIS OF 6-PENTYL-2*H*-PYRAN-2-ONE: A NATURAL ANTIFUNGAL BIOSYNTHESIZED BY *TRICHODERMA* SPP.

Z. ANDRIAMIALISOA^{a,*}, M. GIRAUD^b, R. LABIA^a and A. VALLA^a

^aChimie et Biologie des Substances Naturelles 6, rue de l'Université 29000 Quimper, France; ^bMNHN, Station de Biologie Marine BP 225, 29182, Concarneau, France

(Received 16 June 2003; In final form 28 October 2003)

Trichoderma spp. biosynthesize 6-pentyl-2*H*-pyran-2-one (6-PP), a natural antifungal pyrone which could be used as biological control agent (BCA). Unfortunately, biotechnical processes are limited by inhibition of biomass at high concentration of 6-PP. We report herein a new easy synthesis of this natural pyrone, using readily available starting materials. This synthesis, compatible with a large production scale, permit to obtain overweight amounts of 6-PP that in biotechnological routes.

Keywords: 6-Pentyl-2H-pyran-2-one; Pyrone; Trichoderma spp.; Antifungal; Biotechnology; Synthesis

1 INTRODUCTION

The use of microorganisms as biological control agents (BCAs) seeks to restore the beneficial balance of natural ecosystems. *Trichoderma* spp. have proved to be useful BCAs, the best strains producing high quantities of 6-pentyl-2*H*-pyran-2-one (6-PP). Although production of 6-PP by *Trichoderma* spp. has been recognized as important factor of the antagonism mechanisms. This pyrone inhibits the growth of a range of phytopathogens, such as *Ceratocystis piceae*, *Armillaria*, *Botrytis cinerea*, *Rhizoctonia solani* (Claydon *et al.*, 1987; Hill *et al.*, 1997).

The production by biotechnology of 6-PP in various systems has been largely reviewed (Abou Zeid *et al.*, 2000; Bonnarme *et al.*, 1997; Cooney *et al.*, 1997; Merlier *et al.*, 1984; Prapulla *et al.*, 1992; Tekin *et al.*, 1995; Worasatit *et al.*, 1994).

The major problem of this production is inherent by the fact that inhibition of biomass growth occurs at low concentration of 6-PP (Serrano-Carreon *et al.*, 2002).

A lot of ameliorations of the biotechnological process have been reported (Alberto De Araujo *et al.*, 2002; Kalyani *et al.*, 2000; Rito-Palomares *et al.*, 2001; Sarhy-Bagnon *et al.*, 1997, 2000).

Previous syntheses make use of complexes strategies, toxic substances and/or drastic conditions: reactions of α -oxo ketene dithioacetals with organocuprate reagents (Dieter and

^{*} Corresponding author: E-mail: andria@iutquimp.univ-brest.fr

ISSN 0275-7540 print; ISSN 1029-0370 online \odot 2004 Taylor & Francis Ltd DOI: 10.1080/02757540310001642670

Z. ANDRIAMIALISOA et al.

Fishpaugh, 1988), Friedel-Crafts conditions followed by cyclization of the obtained ketoesters at 490 °C with copper, nickel, stainless steel catalysts (Pittet and Klaiber, 1975, Klaiber and Pillet, 1976).

Some recent and elegant syntheses have been depicted, but also utilize toxic reagents or are not compatible with a large scale production: palladium/copper-catalyzed reactions with 1-alkynes (Biagetti *et al.*, 2002), Stille palladium-catalyzed annulation of vinylstannanes by acyl chlorides (Thibonnet *et al.*, 2002), use of organozinc compounds or palladium-catalyzed reactions of organozincs with activated alkenyl halides (Bellina *et al.*, 2001), nickel-catalyzed coupling reactions of alkynes with halopropenoates (Kotora *et al.*, 1999).

We have been reported recently new syntheses of 6-PP from 2-methyl-heptan-2-one (Valla *et al.*, 2000) and methyl hexanoate (Giraud and Andriamialisoa, 2001).

2 RESULTS AND DISCUSSIONS

For economical purposes, we have investigated a modification of the latter patent (Giraud and Andriamialisoa, 2001), in order to produce a synthesis which could be realized with inexpensive and safe reagents.

The scheme of the synthesis is depicted in Figure 1.

Hence, lithium (or sodium) anions of dimethylsulfoxide (DMSO) react with methyl caproate to furnish the sulfoxide 2 in 80% yields. A Michael reaction of the anion of sulfoxide 2 (which could be generated with NaH, BuLi or *t*BuOK) with methylacrylate, lead to the ester 3 in 60% yield. The latter is saponified with potassium hydroxide to give the sulfinyl-acid 4 (80%) which underwent thermal elimination of the sulfoxide in boiling toluene/calcium carbonate to provide a crude mixture of acids **5a** and **5b** (100%). Cyclization in boiling acetic anhydride of the crude mixture led to the 6-PP **6** in a closely quantitative yield.

The substitution of the expensive methyl phenylsufoxide by dimethylsulfoxide and ethyl-3-bromopropionate by methyl acrylate (depicted in Giraud and Andriamialisoa, 2001) allow to produce 6-PP with a lower cost and therefore in a large scale. This synthesis,



56

realized in four steps (overall yield 27%), allows the production of an ecological antifungal reagent which could be utilized in many ecological systems. In addition, all solvents (THF, ethyl acetate, methanol) used in this process could be easily recycled.

3 EXPERIMENTAL

1-Methyl-sulfinyl-2-heptanone **2a**. To a stirred suspension of NaH (50% in mineral oil, 50 g, 1 mol) in THF (300 mL) at 70 °C is added dropwise a solution of DMSO (100 mL, 1.26 mol) in THF (100 mL). The mixture is stirred for 3 hrs, cooled at 0 °C and a solution of methyl caproate (65 g, 0.5 mmol) is added dropwise. After 4 hrs at rt, the crude solution is hydrolysed (HCl 50%), extracted with ethyl acetate and chromatographied (SiO₂; ethyl acetate). The 1-methylsulfinyl-2-heptanone is obtained in 80% yield as a colourless oil. IR (film) v_{CO} : 1700. ¹H NMR: 3.79 (*d*, 1H, J=16.0, H₁); 3.69 (*d*, 1H, J=16.0, H'₁); 2.67 (*s*, 3H, SOCH₃); 2.58 (*m*, 2H, H₃); 1.58, 1.27 (2*m*, 4H, H₅, H₆); 0.86 (*t*, 3H, J=7.0, H₇). ¹³C NMR: 202.5 (C₂); 68.9 (C₁); 45.2 (C₃); 38.9 (SOCH₃); 30.9, 22.7, 22.2 (C₄, C₅, C₆); 13.7 (C₇).

Methyl-4-methyl-sulfinyl-5-oxo-decanoate **3a**. To a stirred suspension of NaH (50% in oil, 5 g; 100 mmol) in THF (100 mL) at 0 °C is added dropwise a solution of 1-methyl sulfinyl-2-heptanone (23 g, 100 mmol) and methyl acryl ate (12.75 g, 150 mmol). in THF (100 mL). The solution is stirred for 4 hrs and then hydrolyzed by a solution of 20% HCl. After extraction with ethyl acetate and purification by column chromatography (SiO₂ ethyl acetate), the ethyl-4-phenyl-sulfinyl-5-oxo-decanoate is obtained as a pale-yellow oil mixture of diastereomers, in 60% yield. IR (film) $v_{\rm CO}$: 1730. ¹H NMR: 4.00 and 3.80 (2*m*, 2H, H₄); 3.80, 3.75 (2*s*, 3H, OCH₃); 2.80, 2.62 (2*m*, 4H, H₂ and H₃); 2.55, 2.50 (2*s*, 3H, SOCH₃); 2.15, 2.00, 1.75, 1.40 (*m*, 6H, H₂, H₃, H₅); 1.80, 1.40 (*m*, 3H, H₇, H₈, H₉); 0.90 (*t*, 3H, *J*=7.0, H₁₀).¹³C NMR: 204.6 (C₅); 172.4 (C₁); 70.5, 68.4 (C₄); 51.8 (OCH₃); 46.7, 44.9 (C₆); 35.4, 34.4 (SOCH₃); 32.1, 31.0, 22.6, 22.5, 22.3, 20.3, 18.8, 15.1 (C₇, C₈, C₉); 15.1, 13.8 (C₁₀).

Methyl-4-methyl-sulfinyl-5-oxo-decanoic acid **4a**. To a stirred suspension of **3a** (26.6 g, 100 mmol) in water (100 mL) is added a 1 M solution of methanolic KOH (120 mL, 120 mmol). After 14 hrs at rt, the mixture is washed with ethyl acetate and the aqueous layer is acidified with 10% HCl and extracted with ethyl acetate. The crude product is obtained as a mixture of diastereomers, in 80% yield. IR (film) $v_{\rm CO}$: 1710. ¹H NMR (DMSO *d*-6): 4.00 and 3.90 (2*m*, 2H, H₆); 2.80 (*s*, 1H, OH); 2.20 and 2.18 (2*s*, 3H, SOCH₃); 2.10, 2.00, 1.90, 1.80, 1.70 (5*m*, 8H, H₆, H₇, H₈, H₉); 0.80 (*t*, 3H, J=7.0, H₁₀).¹³C NMR (DMSO *d*-6): 205.7 (C₅); 173.8, 173.7 (C₁); 70.2, 68.6 (C₄); 44.7, 39.9 (C₂); 35.1, 31.3 (SOCH₃); 31.0, 30.9, 22.5, 22.4, 22.3, 20.0, 19.9 (C₃, C₆, C₇, C₉); 14.1 (C₁₀).

5-Oxo-2-decenoic and 5-oxo-3-decenoic acids **5a** and **5b** (Valla *et al.*, 2000). A solution of **4a** (21.6 g, 100 mmol) in toluene and CaCO₃ (10 g, 100 mmol) is heating at 90 °C for 6 hrs. The crude acids are extracted with a saturated solution of NaHCO₃. After acidification (HCl 1 M) and extraction with ethyl acetate, the solvent is removed under reduced pressure and the crude mixture is used without any purification (100%) in the next step. From this mixture, **5a** and **5b** (60/40) were separated by column chromatography (SiO₂, CH₂Cl₂/CH₃OH: 98/2).

5-Oxo-3-decenoic acid **5a**: IR (film) v_{CO} : 1710. ¹H NMR (CDCl₃): 7.15 (*dt*, 1H, J = 16.0, J = 7.0, H₃); 5.87 (*d*, 1H, J = 16.0, H₂); 3.35 (*d*, 2H, J = 7.0, H₄); 2.60 (*t*, 2H, J = 7.0, H₆); 1.60; 1.33 (2*m*, 6H, H₇, H₈, H₉); 0.85 (*t*, 3H, J = 7.0, H₁₀).

5-Oxo-2-decenoic acid **5b**. IR (film) v_{CO} : 1710. ¹H NMR (CDCl₃): 6.87 (*dt*, 1H, J = 16.0, J = 7.0, H₂); 6.18 (*d*, 1H, J = 16.0, H₃); 3.28 (*d*, 2H, J = 7.0, H₄); 2.60(*t*, 2H, J = 6.0, H₆); 1.60; 1.33 (2*m*, 6H, H₇, H₈, H₉); 0.85 (*t*, 3H, J = 7.0, H₁₀).

Z. ANDRIAMIALISOA et al.

6-PP 6. A mixture of 5a and 5b (1.8 g; 10 mmol) in $(CH_3CO)_2O$ (10 mL) is heated at reflux for 2 hrs. After cooling and solvent evaporated 6-PP is obtained in 70% yield, as a colourless oil. IR (film) v_{CO} : 1730. ¹H NMR (CDCl₃): 7.26 (*dd*, 1H, J=9.5, J=6.5, H₄); 6.15 (*dd*, 1H, J=9.5, J=1.0, H₃); 5.98 (*dd*, 1H, J=6.5, J=1.0, H₅); 2.48 (*t*, 2H, J=7.5, J=1.0, H₁); 1.66 (*m*, 2H, H₂); 1.32 (*m*, 4H, H₃, H₄); 0.90 (*t*, 3H, J=70, H₅). ¹³C NMR: 166.7 (C₂); 143.6, 112.9, 102.5 (C₃, C₄, C₅); 33.6, 31.0, 26.4, 22.2 (C₁', C₂', C₃', C₄'); 13.8 (C₅').

References

- Abou Zeid, A. H. S., Haggag, M. Y., Saleh, M. M. and Mohamed, R. S. (2000). Isolation and identification of the biologically active secondary metabolites from *Trichoderma harzianum rifai harzianum rifai* and *Trichoderma hamatum* (Bon.) Bain. Bulletin of the National Research Centre (Egypt), 25, 207–222.
- Alberto De Araujo, A., Pastore, G. M. and Berger, R. G. (2002). Production of coconut aroma by fungi cultivation in solid-state fermentation. *Applied Biochemistry and Biotechnology*, 98–100.
- Bellina, F., Biagetti, M., Carpita, A. and Rossi, R. (2001). A novel route to 6-substituted and 5,6-disubstituted 2-pyrones. *Tetrahedron Letters*, 42, 2859–2863.
- Biagetti, M., Bellina, F., Carpita, A. and Rossi, R. (2002). 6-Chloro-2(2H)-pyranone: a new 2(2H)-pyranone synthon. Tetrahedron Letters, 44, 607–610.
- Bonnarme, P., Djian, A., Latrasse, A., Feron, G., Ginies, C., Durand, A. and Le Quere, J.-L. (1997). Production of 6-pentyl-α-pyrone by *Trichoderma* spp. from vegetable oils. *Journal of Biotechnology*, **56**, 143–150.
- Claydon, N., Allan, M., Hanson, J. R. and Avent, A. G. (1987). Antifungal alkyl pyrones of Trichoderma harzianum. Transactions of the British Mycological Society, 88, 503–513.
- Cooney, J. M., Lauren, D. R., Jensen, D. J. and Perry-Meyer, L. J. (1997). Effect of solid substrate, liquid supplement, and harvest time on 6-n-pentyl-2H-pyran-2-one (6PP) Journal of Agricultural and Food Chemistry, 45, 531–534.
- Dieter, R. K. and Fishpaugh, J. R. (1988). Synthesis of α -pyrones from vinylogous thiol esters and α -oxo ketene dithioacetals. *Journal of Organic Chemistry*, **53**, 2031–2046.
- Giraud, M. and Andriamialisoa, Z. (2001). Process for the synthesis of 6-pentyl-2*H*-pyran-2-one, a fungicidal natural product useful for treatment of plant diseases. *PCT* 0151481 2001 07 19; CA 135, 107187 (2001).
- Hill, R. A., Eden, M. A., Cutler, H. G., Elmer, Ph. A. G., Reglinski, T. and Parker, S. R. (1997). Practical natural solutions for plant disease control. Book of Abstracts, 214 ACS National Meeting, Las Vegas, NV, Sept. 7–11 (1997).
- Kalyani, A., Prapulla, S. G. and Karanth, N. G. (2000). Study on the production of 6-pentyl-α-pyrone using two methods of fermentation. *Applied Microbiology and Biotechnology*, 53, 610–612.
- Klaiber, E. M. and Pittet, A. O. (1976). Keto-ester composition. *US* 3946054 1976 03 23; CA **85**, 405497 (1976). Synthesis and flavor properties of some alkyl-substituted α-pyrone derivatives. *Journal of Agricultural and Food Chemistry*, **23**, 1189–1195.
- Kotora, M., Ishikawa, M., Tsai, F.-Y. and Takahashi, T. (1999). Halogen-dependent coupling reaction of alkynes with (Z)-3-halopropenoates catalyzed by nickel. *Tetrahedron*, 55, 4969–4978.
- Merlier, O. A.-M., Boirie, M. J., Pons, B. J. and Renaud, C. M. (1984). Strain of *Trichoderma harzianum*, its isolation, its culture, peptides or compounds produced by this strain and application of this strain and these peptides or the product produced by the culture process as a means for biological control in the form of an agricultural fungicide. *EP* 124388 1984 11 07; CA 102, 183747 (1985).
- Pittet, A. O. and Klaiber, E. M. (1975). Synthesis and flavor properties of some alkyl-substituted α-pyrone derivatives. Journal of Agricultural and Food Chemistry, 23, 1189–1195.
- Prapulla, S. G., Karanth, N. G., Engel, K. H. and Tressl, R. (1992). Production of 6-pentyl-α-pyrone by *Trichoderma* viride. Flavour and Fragrance Journal, 7, 231–234.
- Rito-Palomares, M., Negrete, A., Miranda, L., Flores, C., Galindo, E. and Serrano-Carreon, L. (2001). The potential application of aqueous two-phase systems for in situ recovery of 6-pentyl-α-pyrone produced by *Trichoderma harzianum. Enzyme and Microbial Technology*, 28, 625–631.
- Rito-Palomares, M., Negrete, A., Galindo, E. and Serrano-Carreon, L. (2000). Aroma compounds recovery from mycelial cultures in aqueous two-phase processes. *Journal of Chromatography, B: Biomedical Sciences and Applications*, 743, 403–408.
- Sarhy-Bagnon, V., Lozano, P., Saucedo-Castaneda, G. and Roussos, S. (2000). Production of 6-pentyl-α-pyrone by Trichoderma harzianum in liquid and solid state cultures. Process Biochemistry (Oxford), 36, 103–109.
- Sarhy-Bagnon, V., Lozano, P., Pioch, D. and Roussos, S. (1997). Coconut-like aroma production by *Trichoderma harzianum* in solid state fermentation. *Advances in Solid State Fermentation, Proceedings of the International Symposium on Solid State Fermentation*, 2nd, Montpellier, Fr., Feb. 27–28, 1997. Publisher, Kluwer, Dordrecht, pp. 379–391.

- Serrano-Carreon, L., Balderas-Ruiz, K., Galindo, E. and Rito-Palomares, M. (2002). Production and biotransformation of 6-pentyl-α-pyrone by *Trichoderma harzianum* in two-phase culture systems. *Applied Microbiology and Biotechnology*, **58**, 170–174.
- Tekin, A. R., Oener, M. D. and Kaya, A. (1995). Production of coconut-like aroma by *Trichoderma viride* in aqueous and two-phase fermentation. *Turkish Journal of Engineering & Environmental Sciences*, **19**, 247–251.
- Thibonnet, J., Abarbri, M., Parrain, J.-L. and Duchene, A. (2002). One-Step Synthesis of α-Pyrones from Acyl Chlorides by the Stille Reaction. *Journal of Organic Chemistry*, **67**, 3941–3944.
- Valla, A., Zentz, F., Cartier, D. and Labia, R. (2000). New synthesis of 6-n-pentyl-2H-pyran-2-one and related compounds. *Natural Product Letters*, **14** (6), 417–423.
- Worasatit, N., Sivasithamparam, K., Ghisalberti, E. L. and Rowland, C. (1994). Variation in pyrone production, lytic enzymes and control of *Rhizoctonia* root rot of wheat among single-spore isolates of *Trichoderma koningii*. *Mycological Research*, **98**, 1357–1363.